



Attorney Docket No.: 6800-0034.01/ste
Client Reference: SHE 0034.12
PATENT

Date of Deposit

April 1, 2005

Certification of Transmission Under 37 C.F.R. §1.8(a) and 1.10: I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated above, with sufficient postage as first class mail.

Anne Currier Carr
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Anne Currier Carr
Signature of Person Mailing Paper or Fee

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

KOZLOWSKI et al.

Serial No.: 10/727,337

Art Unit: 1711

Filed: December 02, 2003

Examiner: Asinovsky, O.

Title: METHOD FOR THE PREPARATION OF 1-BENZOTRIAZOLYL CARBONATE ESTERS OF POLY(ETHYLENE GLYCOL)

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, only copies of documents cited as "CB" and "CC" on the attached Form PTO/SB/08B are enclosed.
- ☒ No copies of the publications listed on the attached Forms PTO/SB/08A or PTO/SB/08B as "AA," "AB," "AC," "AD," "AE," "BA," or "CA" are being provided pursuant to 37 C.F.R. §1.98(d) because these publications were previously cited by or submitted to the Office in prior Application Serial Nos. 10/068,371 and No. 09/740,556, to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) _____ listed on the attached Form PTO/SB/08A were cited in a

foreign search or examination report corresponding to ___ application serial no.
 _____ and mailed on _____.

- ☐ Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication ____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) ____ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) _____ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. _____.

This Information Disclosure Statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing of:

- ☒ **a final action under 37 C.F.R. § 1.113;**
- ☐ a notice of allowance under 37 C.F.R. § 1.113; or
- ☐ an action that otherwise closes prosecution in this application.

In accordance with 37 C.F.R. § 1.97(c) also enclosed is:

- ☒ **Fee under 37 C.F.R. § 1.17(p) in the amount of \$180.00; or**
- ☐ Statement as specified in 37 C.F.R. § 1.97(e):

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or

☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, having made a reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Respectfully submitted,

Date: April 1, 2005

By: Susan T. Evans

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ON BEHALF OF NEKTAR THERAPEUTICS

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/727,337	
			Filing Date	December 2, 2003	
			First Named Inventor	Antoni Kozlowski	
			Art Unit	1711	
			Examiner Name	Olga Asinovsky	
Sheet	1	of	2	Attorney Docket Number	6800-0034.01

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	AA	US-5,629,384	05/1997	Veronese et al.	
	AB	US-5,650,234	07/1997	Dolence et al.	
	AC	US-5,985,263	11/1999	Lee et al.	
	AD	US-6,348,558	02/2002	Harris et al.	
	AE	US-6,436,386	08/2002	Roberts et al.	
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	BA	DE	287 951		03/1992			<input type="checkbox"/>
	BB							<input type="checkbox"/>
	BC							<input type="checkbox"/>
	BD							<input type="checkbox"/>
	BE							<input type="checkbox"/>
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Examiner Signature	Date Considered
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Substitute for form 1449B/PTO		Complete if Known	
		Application Number	10/727,337
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	December 2, 2003
		First Named Inventor	Antoni Kozłowski
		Art Unit	1711
		Examiner Name	Olga Asinovsky
		Attorney Docket Number	6800-0034.10
Sheet	2	of	2

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	Monfardini, et al. "A Branched Monomethoxypoly(ethylene glycol) for Protein Modification", Am. Chem. Soc., 1995, pp. 62-69, vol. 6, No. 1.	
	CB	Shearwater Polymers, Inc. Catalog "Polyethylene Glycol Derivatives," 1997-1998, 55 pages.	
	CC	Shearwater Polymers, Inc. Catalog "Polyethylene Glycol Derivatives," 2000, 52 pages.	
	CD		
	CE		
	CF		
	CG		
	CH		
	CI		
	CJ		
	CK		
	CL		
	CM		

Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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